The Leader Election Protocol of IEEE 1394 in Maude

Alberto Verdejo, Isabel Pita, and Narciso Martí-Oliet

Dpto. de Sistemas Informáticos y Programación
Universidad Complutense de Madrid. Spain
{alberto,ipandreu,narciso}@sip.ucm.es

Abstract
In this paper we consider two descriptions in Maude of the leader election protocol from the IEEE 1394 serial multimedia bus. Particularly, the time aspects of the protocol are studied. The descriptions are first validated by an exhaustive exploration of all the possible behaviors and states reachable from an initial configuration of a network, checking that always only one leader is chosen. As a final step for proving the correctness of the protocol we give a formal proof showing that the desirable properties of the protocol are always fulfilled.

1 Introduction

Rewriting logic [11,12] and Maude [3,4] have emerged as an excellent framework where communication protocols can be specified and analyzed [6,7].

Denker, Meseguer, and Talcott present in [7] a formal methodology for specifying and analyzing communication protocols. It is arranged as a sequence of increasingly stronger methods, including:

(i) Formal specification, in order to obtain a formal model of the system, in which ambiguities are clarified.

(ii) Execution of the specification, for simulation and debugging purposes, leading to better versions of the specification.

(iii) Formal model-checking analysis, in order to find errors by considering all possible behaviors of highly distributed and nondeterministic systems.

(iv) Narrowing analysis, in which all behaviors from the possibly infinite set of states described by a symbolic expression are analyzed.

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Formal Proof, where the correctness of critical properties is verified by using a formal technique.

In this paper we use methods (i)–(iii) to specify and analyze two descriptions of the leader election protocol of IEEE 1394 serial multimedia bus (the “FireWire”), and we use method (v) to verify them.

Although formal methods were not used in the development of the 1394 standard, various aspects of the system have been described elsewhere using a variety of different techniques, including I/O automata [8], µCRL [16], and E-LOTOS [17]. Thus this example is becoming something of a benchmark for formal methods [10]. We show how Maude, a high-level language and high-performance system supporting both equational and rewriting logic computation, can also be used as a formal specification language. We use the object-oriented specification style of Maude [3], which allows formalization of both synchronous and asynchronous concurrent object systems.

2 Informal overview of the protocol

The serial multimedia bus IEEE 1394 [9] connects together systems and devices in order to carry all forms of digitized video and audio quickly, reliably, and inexpensively. Its architecture is scalable, and it is “hot-pluggable,” so a designer or user can add or subtract systems and peripherals easily at any time. The IEEE 1394 as a whole is complex, comprising of several different subprotocols, each concerned with different tasks (e.g. data transfer between nodes in the network, bus arbitration, leader election). The standard is described in layers, in the style of OSI (Open Systems Interconnection), and each layer is split into different phases [9]. In this paper only the tree identify phase (leader election) of the physical layer is described.

Informally, the tree identify phase of IEEE 1394 is a leader election protocol taking place after a bus reset in the network (i.e. when a node is added to, or removed from, the network). Immediately after a bus reset all nodes in the network have equal status, and know only to which other nodes they are connected. A leader (root) must be elected to serve as the bus manager for the other phases of the IEEE 1394. Figure 1(a) shows the initial state of a possible network. Connections between nodes are indicated by solid lines. The protocol is only successful if the original network is connected and acyclic.

Each node carries out a series of negotiations with its neighbors in order to establish the direction of the parent-child relationship between them. More specifically, if a node has $n$ connections then it receives “be my parent” requests from all, or all but one, of its connections.

Assuming $n$ or $n-1$ requests have been made, the node then moves into an acknowledgement phase, where it sends acknowledgements “you are my child” to all the nodes which sent “be my parent” in the previous phase. When all acknowledgements have been sent, either the node has $n$ children and therefore
is the root node, or the node sends a “be my parent” request on the so far unused connection and awaits an acknowledgement from the parent. Leaf nodes skip the initial receive requests phase and move straight to this point; they have only one connection therefore it must be their parent. Figure 1(b) shows the instant when nodes d, f, and g have their parent already decided (solid connections with arrows pointing to the parent), and node b is asking node c to be its parent (the queried relationship is shown by a dotted line).

Communication between nodes is asynchronous; therefore it is possible that two nodes might simultaneously request each other to be its parent, leading to root contention (each wants the other to be the root, see Figure 1(c)). To resolve root contention, each node selects a random Boolean. The value of the Boolean specifies a long or short wait before resending the “be my parent” request. This may lead to contention again, but fairness guarantees that eventually one node will become the root.

When all negotiations are concluded, the node which has established that it is the parent of all its connected nodes must be the root node of a spanning tree of the network. See Figure 1(d) in which node c is the root node.

3 Synchronous communication description

We begin with a simple description of the protocol, without time considerations, where communication between nodes is assumed to be synchronous, i.e. a message is sent and received simultaneously, therefore there is no need for acknowledgements, and contention cannot arise. The reader can find a description of how object-oriented specifications are written in Maude in [3].

In the IEEE 1394 we have nodes and communications between nodes. These relate naturally to objects and messages. In this first description, nodes are represented by objects of class Node with the following attributes:
• **neig**: SetIden, the set of identifiers of the neighbor nodes which this node has not yet communicated with. This is initialized to the set of all nodes (object identifiers) connected to this node and decreases with every “be my parent” request until it is either empty (and this node is the root) or it has one element (which is the parent of this node); and

• **done**: Bool, a flag which is set when the tree identify phase of the protocol has finished for this node, because it has been elected as the root node or because it already knows which is its parent.

Since communication is synchronous in this first description, we do not need messages to represent the “be my parent” requests or the acknowledgements. However, we add a “leader” message which is sent by the elected leader to indicate that a leader has been chosen. This provides us with a means of checking the requirement that a single leader is eventually elected (Section 5).

The following module introduces identifiers and sets of identifiers.

(fmod IDENTIFIERS is protecting QID.
  sorts Iden SetIden. subsorts Qid < Iden < SetIden.
  op empty : -> SetIden.
  op __ : SetIden SetIden -> SetIden [assoc comm id: empty].
endfm)

The object-oriented module describing the protocol starts declaring the node identifiers as valid object identifiers, the class **Node** with its attributes, and the message **leader**:

(comod FIREWIRE-SYNC is protecting IDENTIFIERS.
  subsort Iden < Oid.
  class Node | neig : SetIden, done : Bool.
  msg leader_ : Iden -> Msg.
endom)

Now we have to describe the node’s behavior by means of rewrite rules. The first rule describes how a node J, which has only one identifier I in its attribute **neig**, sends a “be my parent” request to the node I, and how node I receives the request and removes J from its set of communications still to make; node J also finishes the identify phase by setting the attribute **done**.

vars I J : Iden. var NEs : SetIden.
rl [rec] :
  < I : Node | neig : J NEs, done : false >
  < J : Node | neig : I, done : false >

Note that nondeterminism arises when there are two connected nodes with only one identifier in their attribute **neig**. Any of them can act as the sender.

The other rule needed states when a node is elected as the leader.

rl [leader] :
  < I : Node | neig : empty, done : false >
=> < I : Node | done : true > (leader I).
endom)
4 Timed, asynchronous communication description

The previous description is very simple, but is not an accurate depiction of events in the real protocol, where messages are sent along wires of variable length, and therefore message passing is asynchronous and subject to delay. Since the communication is asynchronous, the acknowledgement messages are needed, and a particular problem arises when two nodes might simultaneously request each other to be its parent, leading to root contention. Using only the asynchronous explicit communication via messages of Maude leads us to a description of the protocol which does not work as expected, in the sense that there is the possibility that the root contention phase and the receive “be my parent” requests phase alternate forever. Hence the timing aspects of the protocol cannot be ignored, and in the root contention phase nodes have to wait a short or long (randomly chosen) time period before resending the “be my parent” requests.

Before showing this new, timed description, we briefly summarize the ideas developed in [14,15] by ¨Olveczky and Meseguer about how to introduce time in rewriting logic and Maude, and particularly in an object-oriented specification. A more technical example, from which some ideas have also been borrowed, is presented in [13].

4.1 Time in rewriting logic and Maude

A real-time rewrite theory is a rewrite theory with a sort $\text{Time}$ that represents the time values, and which fulfills several properties, like being a commutative monoid ($\text{Time}, +, 0$) with additional operations $\leq$, $<$, and $-$ (“monus”). We use the module $\text{TIMEDOMAIN}$ to represent the time values, with a sort $\text{Time}$ whose values are the natural numbers, and which is a subsort of the sort $\text{TimeInf}$, which in addition contains the constant $\text{INF}$ representing $\infty$.

Rules are divided into tick rules, that model the elapse of time on a system, and instantaneous rules, that model changes in (part of) the system and are assumed to take zero time. To ensure that time advances uniformly in all the parts of a state, we need a new sort $\text{ClockedSystem}$, with a free constructor $\{ | \}$ : $\text{State} \times \text{Time} \rightarrow \text{ClockedSystem}$. In the term $\{ s \mid t \}$, $s$ denotes the global state and $t$ denotes the total time elapsed in a computation if in the initial state the clock had value 0. Uniform time elapse is then ensured if every tick rule is of the form $\{ s \mid t \} \rightarrow \{ s' \mid t + \tau \}$, where $\tau$ denotes the duration of the rule. These rules are called global rules because they rewrite terms of sort $\text{ClockedSystem}$. Other rules are called local rules, because they do not act on the system as a whole, but only on some system components. Having local rules allows parallelism because they can be applied to different parts of the system at the same time. Local rules are always viewed as instantaneous rules that take zero time.

In general, it must also be ensured that time does not advance if instantaneous actions have to be performed. Although in many cases it is possible to
add conditions on the tick rules such that time will not elapse if some time-critical rule is enabled (and this is our case here, as explained below), a general approach is to divide the rules in a real-time rewrite theory into *eager* and *lazy* rules, and use internal strategies to restrict the possible rewrites by requiring that the application of eager rules takes precedence over the application of lazy rules (see Section 5.1).

In [15] it is also explained how these ideas can be applied to object-oriented systems. In that case, the global state will be a term of sort *Configuration*, and since it has a rich structure, it is both natural and necessary to have an explicit operation \(\delta\) denoting the effect of time elapse on the whole state. In this way, the operation \(\delta\) will be defined for each possible element in a configuration of objects and messages, describing the effect of time on this particular element, and there will be equations, as shown below, which distribute the effect of time to the whole system. In this case, tick rules should be of the form \(\{ s \mid t \} \rightarrow \{ \delta(s, \tau) \mid t + \tau \} \).

An operation \(mte\) giving the maximum time elapse permissible to ensure timeliness of time-critical actions, and defined separately for each object and message, is also useful, as we will see below. The following general module declares these operations, and how they distribute over the elements (\(\text{none}\) is the empty configuration):

\begin{verbatim}
(omod TIMEDOOSYSTEM is protecting TIMEDOMAIN .
  sorts State ClockedSystem . subsort Configuration < State .
  op '{_/_'} : State Time -> ClockedSystem .
  op delta : Configuration Time -> Configuration .
  vars CF CF' : Configuration . var T : Time .
  eq delta(none, T) = none .
  ceq delta(CF CF', T) = delta(CF, T) delta(CF', T)
    if CF /= none and CF' /= none .
  op mte : Configuration -> TimeInf .
  eq mte(none) = INF .
  ceq mte(CF CF') = min(mte(CF), mte(CF'))
    if CF /= none and CF' /= none .
endom)
\end{verbatim}

### 4.2 Second description of the protocol

In this second description each node passes through different phases (as explained in Section 2) which are declared in the following module:

\begin{verbatim}
(fmod PHASES is sort Phase .
  ops rec ack waitParent contention self : -> Phase .
endfm)
\end{verbatim}

When a node is in the *rec* phase, it is receiving “be my parent” requests from its neighbors. In the *ack* phase, the node sends acknowledgements “you are my child” to all the nodes which sent “be my parent” in the previous phase. In the *waitParent* phase, the node waits for the acknowledgement from its parent. In the *contention* phase, the node waits a long or short time
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before resending the “be my parent” request. A node is in the self phase when it has been elected as the leader, or it has received the acknowledgement from its parent.

The attributes of the class Node, defined in module FIREWIRE-ASYNC extending TIMEDOOSYSTEM, are now the following:

```plaintext
class Node | neig : SetIden, children : SetIden, phase : Phase, rootConDelay : DefTime .
```

The children attribute represents the set of children to be acknowledged; phase represents the phase in which the node is; and rootConDelay is an alarm used in the root contention phase. The sort DefTime extends Time with a new constant noTimeValue used when the clock is disabled.

```plaintext
sort DefTime . subsort Time < DefTime .
op noTimeValue : -> DefTime .
```

Besides the leader message, we introduce two new messages which have as arguments the sender, the receiver, and the time needed to reach the receiver:

```plaintext
msg from_to_be'my'parent'with'delay_ : Iden Iden Time -> Msg .
msg from_to_acknowledgement'with'delay_ : Iden Iden Time -> Msg .
```

For example, the message from I to J be my parent with delay T denotes that a “be my parent” request has been sent from node I to node J, and it will reach J in T units of time. A message with delay 0 is urgent, in the sense that it has to be attended by the receiver before time elapses. The mte operation will ensure that this requirement is fulfilled, as we will see below.

The first rule\(^1\) states that a node I in the rec phase, and with more than one neighbor, can receive a “be my parent” request with delay 0 from its neighbor J. The identifier J is stored in the children attribute:

```plaintext
vars I J K : Iden . vars NEs CHs : SetIden .
crl [rec] : (from J to I be my parent with delay 0)
  < I : Node | neig : J NEs, children : CHs, phase : rec >
=> < I : Node | neig : NEs, children : J CHs > if NEs /= empty .
```

When a node is in the rec phase and there is only one connection unused, it may pass to the next phase, ack, or it can receive the last request before going into this phase:

```plaintext
rl [recN-1] :
  < I : Node | neig : J, children : CHs, phase : rec >
=> < I : Node | phase : ack > .
```

```plaintext
rl [recLeader] :
  (from J to I be my parent with delay 0)
  < I : Node | neig : J, children : CHs, phase : rec >
```

\(^1\) Although for the sake of simplicity we present here local rules rewriting terms of sort Configuration, in fact in the full specification we use global rules that rewrite terms of sort ClockedSystem. This is done in order to avoid, basically, problems with function mte which has Configuration as an argument sort.
In the acknowledgement phase the node sends acknowledgements “you are my child” to all the nodes which previously sent “be my parent” requests:

\[ rl \text{[ack]} : \]
\[ \langle I : \text{Node} | \text{children} : J \text{ CHs}, \text{phase} : \text{ack} > \]
\[ => \langle I : \text{Node} | \text{children} : \text{CHs} > \]
\[ (\text{from I to J acknowledgement with delay timeLink}(I,J)) . \]

The operation \text{timeLink} : \text{Iden} \text{Iden} \rightarrow \text{Time} represents a table with the time values denoting the delays between nodes.

When all acknowledgements have been sent, either the node has the set \text{neig} empty and therefore is the root node, or it sends a “be my parent” request on the so far unused connection and awaits an acknowledgement from the parent. Note that leaf nodes skip the initial receive requests phase and move straight to this point.

\[ rl \text{[ackLeader]} : \]
\[ \langle I : \text{Node} | \text{neig} : \text{empty}, \text{children} : \text{empty}, \text{phase} : \text{ack} > \]
\[ => \langle I : \text{Node} | \text{phase} : \text{self} > \text{ (leader I)} . \]

\[ rl \text{[ackParent]} : \]
\[ \langle I : \text{Node} | \text{neig} : J, \text{children} : \text{empty}, \text{phase} : \text{ack} > \]
\[ => \langle I : \text{Node} | \text{phase} : \text{waitParent} > \]
\[ (\text{from I to J be my parent with delay timeLink}(I,J)) . \]

\[ rl \text{[wait1]} : \]
\[ (\text{from J to I acknowledgement with delay 0}) \]
\[ \langle I : \text{Node} | \text{neig} : J, \text{phase} : \text{waitParent} > \]
\[ => \langle I : \text{Node} | \text{phase} : \text{self} > . \]

If a parent request has been sent, then the node waits for an acknowledgement. If a parent request arrives instead, then the node and the originating node of the parent request are in contention for leader.

In the IEEE 1394 standard, contention is resolved by choosing a random Boolean \( b \) and waiting for a short or long time depending on \( b \) before sampling the relevant port to check for a “be my parent” request from the other node. If the request is there then this node should agree to be the root and send an acknowledgement to the other. If the message is not present, then this node will resend its own “be my parent” request.

In our representation, a random Boolean is chosen (by means of the value \( N \) in the random number generator \text{RAN}) and a wait time selected. If a “be my parent” request arrives during that time then the wait aborts and the request is dealt with. If the wait time expires then the node resends “be my parent.”

\[ rl \text{[wait2]} : \]
\[ (\text{from J to I be my parent with delay 0}) \]
\[ < I : \text{Node} | \text{neig} : J, \text{phase} : \text{waitParent} > \]
\[ < \text{RAN} : \text{RandomNGen} | \text{seed} : N > \]
\[ => < I : \text{Node} | \text{phase} : \text{contention}, \]
\[ \quad \text{rootConDelay} : \text{if (} N \% 2 == 0 \text{) then ROOT-CONT-FAST} \]
\[ \quad \text{else ROOT-CONT-SLOW fi} > \]
\[ < \text{RAN} : \text{RandomNGen} | \text{seed} : \text{random}(N) > . \]
Objects of class RandomNGen are random number generators. The class declaration and the random operation are as follows:

```plaintext
class RandomNGen | seed : MachineInt.

op random : MachineInt -> MachineInt. *** next random number
var N : MachineInt.
eq random(N) = ((104 * N) + 7921) % 10609.
```

We have to define now how time affects objects and messages, that is, we have to define the delta operation denoting the effect of time elapse on objects and messages, and also which is the maximum time elapse allowed (to ensure timeliness of time-critical actions) by an object or message:

```plaintext
vars T T' : Time. var DT : DefTime.
eq delta(< I : Node | rootConDelay : DT >, T) =
  if DT == noTimeValue then < I : Node | >
  else < I : Node | rootConDelay : DT minus T > fi.
eq delta(< RAN : RandomNGen | >, T) = < RAN : RandomNGen | >.
eq delta(leader I, T) = leader I.
eq delta(from I to J be my parent with delay T, T') =
  from I to J be my parent with delay (T minus T') .
eq delta(from I to J acknowledgement with delay T, T') =
  from I to J acknowledgement with delay (T minus T') .
eq mte(< I : Node | neig : J K NEs, phase : rec >) = INF.
eq mte(< I : Node | neig : J, phase : rec >) = 0.
eq mte(< I : Node | phase : ack >) = 0.
eq mte(< I : Node | phase : waitParent >) = INF.
eq mte(< I : Node | phase : contention, rootConDelay : T >) = T.
eq mte(< I : Node | phase : self >) = INF.
eq mte(< RAN : RandomNGen | >) = INF.
eq mte( from I to J be my parent with delay T ) = T.
eq mte( from I to J acknowledgement with delay T ) = T.
eq mte( leader I ) = INF.
```

The tick rule that lets time pass if there is no rule that can be applied immediately is as follows:

```plaintext
var C : Configuration.
crl [tick] : { C | T } => { delta(C, mte(C)) | T plus mte(C) }
  if mte(C) =/= INF and mte(C) /= 0.
```

Due to our definition of the operation mte, this rule can only be applied when no other rules are enabled.
4.3 An Example

The descriptions of the protocol are executable on the Maude system. We can take advantage of this fact in order to get confidence on the correctness of the protocol. First, we define a configuration denoting the initial state of the network in Figure [1] using the timed description.

\begin{verbatim}
(omod EXAMPLE is protecting FIREWIRE-ASYNC .
  op network7 : -> Configuration .
  op dftATTRS : -> AttributeSet .
  eq dftATTRS = children : empty, phase : rec,
      rootConDelay : noTimeValue .
  eq network7 = < 'Random : RandomNGen | seed : 13 >
     < 'a : Node | neig : 'c, dftATTRS >
     < 'b : Node | neig : 'c 'd, dftATTRS >
     < 'c : Node | neig : 'a 'b 'e, dftATTRS >
     < 'd : Node | neig : 'b, dftATTRS >
     < 'e : Node | neig : 'c 'f 'g, dftATTRS >
     < 'f : Node | neig : 'e, dftATTRS >
     < 'g : Node | neig : 'e, dftATTRS >.
  eq timeLink('a,'c) = 7 . eq timeLink('c,'a) = 7 .
  eq timeLink('b,'c) = 7 . eq timeLink('c,'b) = 7 .
  eq timeLink('b,'d) = 10 . eq timeLink('d,'b) = 10 .
  eq timeLink('c,'e) = 20 . eq timeLink('e,'c) = 20 .
  eq timeLink('e,'f) = 8 . eq timeLink('f,'e) = 8 .
  eq timeLink('e,'g) = 10 . eq timeLink('g,'e) = 10 .
endom)
\end{verbatim}

We can ask the Maude system to rewrite the initial configuration by using its default strategy:

\begin{verbatim}
Maude> (rew { network7 | 0 } .)
result ClockedSystem : { leader 'c
     < 'e : Node | neig : 'c, restATTRS > < 'd : Node | neig : 'b, restATTRS >
     < 'a : Node | neig : 'c, restATTRS > < 'f : Node | neig : 'e, restATTRS >
     < 'b : Node | neig : 'c, restATTRS > < 'g : Node | neig : 'e, restATTRS >
     < 'c : Node | neig : empty, restATTRS >
     < 'Random : RandomNGen | seed : 9655 > | 920 }
\end{verbatim}

where, in order to make the term presentation more readable, we have substituted by hand the attributes which are the same for all nodes, as follows:

restATTRS = children : empty, phase : self, rootConDelay : noTimeValue

5 Model-checking analysis

There are two desirable properties that this protocol has to fulfill: A single leader is chosen (safety), and a leader is eventually chosen (liveness).

We show in this section how the reflective capabilities of rewriting logic and Maude \[23\] can be used to show that the specifications of the protocol work in the expected way when applied to a concrete network. This is done by checking that these two properties are fulfilled at the end of the protocol in all possible behaviors of the protocol starting with the initial configuration.
representing the concrete network.

5.1 Search strategy

We validate our specifications by making an exhaustive exploration of all possible behaviors in the tree of possible rewritings of a term representing the initial state of the network. In this tree we search for all the irreducible terms and observe that in all irreducible, reachable terms only one leader message exists. The depth-first search strategy is based on the work in [15]. The module implementing the search strategy is parameterized with respect to a constant equal to the metarepresentation of the Maude module which we want to work with. Hence, we define a parameter theory with a constant \texttt{MOD} representing the module, and a constant \texttt{labels} representing the list of labels of rewrite rules to be applied:

\[
(fth \texttt{AMODULE} is including \texttt{META-LEVEL}. \\
\quad \texttt{op MOD : }\rightarrow \texttt{Module}. \\
\quad \texttt{op labels : }\rightarrow \texttt{QidList}. \\
endfth)
\]

The module containing the strategy, extending \texttt{META-LEVEL}, is then the parameterized module \texttt{SEARCH[M :: AMODULE]}. The strategy controls the possible rewritings of a term by means of the metalevel function \texttt{meta-apply}. The operation \texttt{meta-apply} returns one of the possible one-step rewritings at the top level of a given term. We first define an operation \texttt{allRew} that returns all the possible one-step sequential rewritings [11] of a given term \text{T} by using rewrite rules with labels in the list \text{labels}.

The operations needed to find all the possible rewritings are as follows:

\[
\begin{align*}
\text{op allRew : Term QidList } & \rightarrow \text{TermList}. \\
\text{op topRew : Term Qid MachineInt } & \rightarrow \text{TermList}. \\
\text{op lowerRew : Term Qid } & \rightarrow \text{TermList}. \\
\text{var T : Term. var L : Qid. var LS : QidList}. \\
\text{eq allRew(T, nil) } & = \_ . \\
\text{eq allRew(T, L LS) } & = \text{topRew(T, L, 0), *** rew. at the top of T} \\
& \quad \text{lowerRew(T, L), *** rew. of (proper) subterms} \\
& \quad \text{allRew(T, LS). *** rew. with labels LS}
\end{align*}
\]

Now we can define an operation \texttt{allSol} to search in the (conceptual) tree of all possible rewritings of a term \text{T} for irreducible terms, that is, terms that cannot be rewritten anymore.

\[
\begin{align*}
\text{sort TermSet. subsort Term } & < \text{TermSet}. \\
\text{op ‘{’ : } & \rightarrow \text{TermSet}. \\
\text{op _U_ : TermSet TermSet } & \rightarrow \text{TermSet [assoc comm id: ‘{’]}. \\
\text{eq T U T } & = T. \\
\text{op allSol : Term } & \rightarrow \text{TermSet}. \\
\text{op allSolDepth : TermList } & \rightarrow \text{TermSet}. \\
\text{var TL : TermList}. \\
\text{eq allSol(T) } & = \text{allSolDepth(meta-reduce(MOD,T))}. \\
\text{eq allSolDepth(\_ ) } & = \{\}. 
\end{align*}
\]
eq allSolDepth( T ) =
    if allRew(T, labels) == ~ then T
    else allSolDepth(allRew(T, labels)) fi .
edq allSolDepth( (T, TL) ) =
    if allRew(T, labels) == ~ then ( T U allSolDepth(TL) )
    else allSolDepth((allRew(T, labels), TL)) fi .

Before looking at an example, we consider two possible modifications of this strategy. First, let us consider that we have separated the protocol rules into eager and lazy rules (as commented in Section 4.1). We can modify the allSolDepth operation to ensure that eager rules are applied first, and that lazy rules are applied only when there is no eager rule enabled.

eq allSolDepth( T ) =
    if allRew(T, eagerLabels) == ~ then
        (if allRew(T, lazyLabels) == ~ then T
        else allSolDepth(allRew(T, lazyLabels)) fi)
    else allSolDepth(allRew(T, eagerLabels)) fi .
edq allSolDepth( (T, TL) ) =
    if allRew(T, eagerLabels) == ~ then
        (if allRew(T, lazyLabels) == ~ then ( T U allSolDepth(TL) )
        else allSolDepth((allRew(T, lazyLabels), TL)) fi)
    else allSolDepth((allRew(T, eagerLabels), TL)) fi .

Secondly, the strategy can also be modified in order to keep, for each term T, the rewrite steps which have been done to reach T from the initial term. This is useful if an error is found when validating the protocol; in this case, the path leading to the error configuration shows a counterexample of the correctness of the protocol (see [6]).

5.2 Example

We show now how the strategy is used to prove that the timed description of the protocol always works well, in all possible behaviors, when applied to the concrete network in module EXAMPLE. In order to instantiate the generic module SEARCH, we need the metarepresentation of module EXAMPLE. We use the Full Maude function up to obtain the metarepresentation of a module or a term [3].

```
(mod META-FIREWIRE is including META-LEVEL .
    op METAFW : -> Module . eq METAFW = up(EXAMPLE) .
    endm)
```

We declare a view and instantiate the generic module SEARCH with it.

```
(view ModuleFW from AMODULE to META-FIREWIRE is
    op MOD to METAFW .
    op labels to ('rec 'recN-1 'recLeader 'ack 'ackLeader 'ackParent
        'wait1 'wait2 'contenReceive 'contenSend 'tick).
    endv)
(mod SEARCH-FW is
    protecting SEARCH[ModuleFW] .
    endm)
```

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Now we can test the example. Since, in this case, only one solution is found (modulo idempotency) we can use the \texttt{down} operation (which is in a sense inverse to \texttt{up}) in order to display the output in a more readable form. The Maude result is as follows:

\begin{verbatim}
Maude> (down EXAMPLE : red allSol(up(EXAMPLE, { network7 | 0 }))) .
result ClockedSystem : { leader 'c
< 'e : Node | neig : 'c, restATTRS > < 'd : Node | neig : 'b, restATTRS >
< 'a : Node | neig : 'c, restATTRS > < 'f : Node | neig : 'e, restATTRS >
< 'b : Node | neig : 'c, restATTRS > < 'g : Node | neig : 'e, restATTRS >
< 'c : Node | neig : empty, restATTRS >
< 'Random : RandomNGen | seed : 9655 > | 920 }
\end{verbatim}

We observe that only one leader has been elected, and that the reached configuration is the one in Figure 1(d). We have also played with other networks, where several configurations can be reached, but all of them have only one leader chosen.

\section{Formal proof}

The desirable properties for this protocol are that a single leader is chosen, and that this leader is eventually chosen, as said in Section 5. To prove them, we make available attributes for making observations of a configuration of the system. Then we observe the changes made by the rewrite rules in the configurations until the leader is chosen.

\subsection{Verification of synchronous description}

For the synchronous case, we define the following observation attribute:

- \textit{nodes} is a set of pairs $\langle A; S \rangle$ where $A$ is a network node identifier and $S$ is the set of nodes such that $B \in S$ if $< A : Node | neig : B NEs, done : false >$ and $< B : Node | done : false >$.

If we take the second component of each pair $\langle A; S \rangle$ to be the adjacency list of the node represented in the first component, then \textit{nodes} represents a network (directed graph).

We assume that the network is initially \textit{correct}, in the sense that the set \textit{nodes} is symmetric (that is, the links are bidirectional), connected, and acyclic. We have checked that if these conditions are fulfilled initially, then they are always fulfilled.

The desirable properties of the protocol are derived by induction from the following:

1. If there are at least two pairs in \textit{nodes} then the rule \texttt{rec} can be applied. We know that if $|\textit{nodes}| \geq 2$ then $\exists A,B.< A ; B > \in \textit{nodes}$, because \textit{nodes} is connected and acyclic. Since the network is symmetric, we know $\exists NEs.< B ; A NEs > \in \textit{nodes}$. Thus, the rule \texttt{rec} can be applied.
2. The nodes cardinality always decreases in one unity when a rule is applied. The proof is straightforward from the rules that model the system.

3. If there is only one pair in nodes, its set of neighbors is empty, < A ; empty >. This is because nodes is symmetric.

4. There may be at most one element in nodes such that its second field is empty. This is because nodes is connected.

6.2 Verification of timed asynchronous description

The method above is extended in order to prove the correctness of the timed description. The main idea is to have different attributes for the set of nodes in each phase and look for sets of nodes that are symmetric, connected, and acyclic. We will prove that if the sets are not empty then some actions can take place, and the number of elements in the sets decreases until all sets are empty.

Given a configuration of objects and messages, we consider the following observation attributes defined by sets of pairs:

\[
\text{Rec}_N : \langle A ; B \text{ NEs CHs} \rangle \text{ in } \Re_{CN}, N > 0 \equiv \\
\langle A : \text{Node} | \text{neig} : B \text{ NEs, children : CHs, phase : rec} >, \\
\text{where } N \text{ is the number of node identifiers in the node’s attribute neig.}
\]

\[
\text{Ack}_C : \langle A ; B \text{ CHs} \rangle \text{ in } \text{Ack}_C, C > 0 \equiv \\
\langle A : \text{Node} | \text{neig} : B, \text{children : CHs, phase : ack} > \lor \\
\langle A : \text{Node} | \text{neig} : \text{empty, children : B CHs, phase : ack} > \\
\text{where } C \text{ is the number of node identifiers in the node’s attribute children.}
\]

\[
\text{Ack}_0 : \langle A ; B \rangle \text{ in } \text{Ack}_0 \equiv \\
\langle A : \text{Node} | \text{neig} : B, \text{children : empty, phase : ack} > \\
\langle A ; \text{empty} \rangle \text{ in } \text{Ack}_0 \equiv \\
\langle A : \text{Node} | \text{neig} : \text{empty, children : empty, phase : ack} >
\]

\[
\text{Wait} : \langle A ; B \rangle \text{ in } \text{Wait} \equiv \langle A : \text{Node} | \text{neig} : B, \text{phase : waitParent} > \\
\text{and there is no message from B to A acknowledgement with delay T} \\
in the system.
\]

\[
\text{Contention}_T : \langle A ; B \rangle \text{ in } \text{Contention}_T \equiv \\
\langle A : \text{Node} | \text{neig} : B, \text{phase : contention, rootConDelay : T} > \\
\text{where } T \text{ is the value of the rootConDelay attribute.}
\]

The sets are disjoint, since a node cannot be in two phases at the same time.

6.2.1 Network properties

Now, the set Nodes is defined by:

\[
\text{Nodes} = \bigcup_{N} \text{Rec}_N \bigcup_{C} \text{Ack}_C \bigcup_{T} \text{Contention}_T \bigcup \text{Wait}
\]
There are not two pairs in $Nodes$ with the same first component; then, if we take the second component of each pair to be the adjacency list of the node represented in the first component, $Nodes$ represents a network (directed graph), and initially $Nodes = \bigcup N_{Rec_N}$, because the other subsets are empty. The pair $< A ; \text{empty} >$ represents a network with only one node.

If $\bigcup N_{Rec_N}$ represents, at the beginning, a symmetric, connected and acyclic network, then $Nodes$ represents always a symmetric, connected and acyclic network.

$Nodes$ is symmetric. If $Nodes$ represents a symmetric network, in the sense that, if we have a link between nodes $A$ and $B$ then we also have a link between nodes $B$ and $A$, then it will always represent a symmetric network. To prove it, it is checked that when we apply a rewrite rule, either a pair is removed from one subset, but it is added to another one, or both $< A ; B \text{ NEs CHs} >$ and $< B ; A \text{ NEs' CHs'} >$ are removed from $Nodes$, or both are added to it.

$Nodes$ is connected. We prove that, if $Nodes$ represents at the beginning a connected network, it will always represent a connected network, by checking that when a pair is removed from the set $Nodes$, it is of the form $< A ; B >$ or $< A ; \text{empty} >$ and this means that it represents a leaf of the network, that is, it is connected to at most one other node. Then, by removing only leaves, the network is still connected. States in which pairs have been removed from $Nodes$ are reached applying one of the following rewrite rules:

- **ackLeader.** Looking at the lefthand side of the rule, it is required that the node is in phase $\text{ack}$ and the $\text{neig}$ and $\text{children}$ attributes are both $\text{empty}$, therefore, the pair that represents the observation attribute is like $< A ; \text{empty} >$.

- **ack.** When this rule is applied the message from $A$ to $B$ acknowledgement with delay $T$ is added to the system, then the pair $< B ; A >$ is removed from the set $Nodes$ since it should be in the subset $\text{Wait}$. If this rule is applied, it is because $B$ is in the $\text{children}$ attribute of $A$, and this means that a “be my parent” request was previously sent from $B$ to $A$. Then, node $B$ has been in phase $\text{waitParent}$, and it must still be in this phase, since no other acknowledgement message could be in the system. Thus, when $\text{ack}$ is applied, we stop observing node $B$, because we are sure that rule $\text{wait1}$ will be applied and node $B$ will reach phase $\text{self}$.

$Nodes$ has no cycles. If $Nodes$ represents at the beginning an acyclic network, it will always represent an acyclic network. Since none of the rewrite rules introduces new pairs in $Nodes$ and since at the beginning it is acyclic, then cycles cannot be created.

6.2.2 Safety properties
Informally speaking, we prove that a single leader is chosen by proving that if a rewrite rule is applied in the system, at most one node is removed from the
network represented by the set $\text{Nodes}$. Then if the algorithm finishes, that is, if the set $\text{Nodes}$ becomes empty, at the end the network represented by $\text{Nodes}$ will have only one node that will be represented by a pair of the form $< A ; \text{empty} >$. Then the rule $\text{ackLeader}$ can be applied and a leader is declared. There cannot be more than one leader, since the network is connected.

**If the set $\text{Nodes}$ becomes empty there should be a leader.** Two rules remove pairs from $\text{Nodes}$:

- **ack.** If we observe the state reached when we apply this rule, we have removed a node identifier $B$ from the second component of a pair $< A ; B \, \text{CHs} >$, and a pair of the form $< B ; A >$. In the network represented by $\text{Nodes}$ this means that we have removed node $B$ from the network.

- **ackLeader.** If we observe the state reached when we apply this rule, we have removed a pair of the form $< A ; \text{empty} >$ from the set $\text{Nodes}$, and this means that we have removed node $A$ from the network.

In both cases we remove only one node from the network represented by $\text{Nodes}$ each time we apply a rewrite rule. Since $\text{Nodes}$ becomes empty, at the end the network should have only one node which is of the form $< A ; \text{empty} >$. Then we can apply rule $\text{ackLeader}$ and a leader is chosen.

**There is only one leader.** Since the network represented by $\text{Nodes}$ is always connected, there can only be a pair of the form $< A ; \text{empty} >$ in $\text{Nodes}$ if the network has only one node. Since we do not add nodes to the network, we can only have one leader.

### 6.2.3 Liveness properties

Informally speaking, we prove that if there are pairs in $\text{Nodes}$ then we can apply some rewrite rule in the system, and if we apply a rule, some positive number that depends on the pairs in $\text{Nodes}$ decreases, and becomes zero when there are no more pairs in $\text{Nodes}$. Then $\text{Nodes}$ should become empty, which means that the algorithm has finished. The contention phase presents some problems, since the function does not decrease sometimes when the rules that treat the contention are applied. In this part we prove termination using the assumption that we are in a fair system and contention cannot occur forever.

**Property 1:** If there are pairs in $\text{Nodes}$ then, there is at least one rule that can be applied in the system.

Since the network represented by the pairs in $\text{Nodes}$ is acyclic then either the network has only one node, or the network has at least one leaf, that is, there is a pair of the form $< A ; B \, \text{CHs} >$ in $\text{Nodes}$ with $B$ the only value in the $\text{neig}$ attribute of node $A$. In the first case we can apply rule $\text{ackLeader}$. In the second case, and since the network is symmetric, there is $< B ; A \, \text{NEs} \, \text{CHs'} >$ in $\text{Nodes}$. Table 1 shows the rewrite rules that can be applied for each pair of nodes. When the second pair is not present, it means that it does not matter the subset in which the pair is. In the cases the rewrite rule is $\text{tick}$, we mean that this rule can be applied if there is no other eager rule that can
First pair | Second pair | Rewrite rule
--- | --- | ---
\(< A ; B \) CHs \( \in \) Rec\(_1\) | | rec\(_N\)-1
\(< A ; B \) CHs \( \in \) Ack\(_C\) | | ack
\(< A ; B \) \( \in \) Ack\(_0\) | | ackParent
\(< A ; B \) \( \in \) Wait | \(< B ; A \) NEs CHs \( \in \) Rec\(_N\) | rec
\(< B ; A \) \( \in \) Wait | \(< B ; A \) CHs \( \in \) Ack\(_N\) | tick
\(< B ; A \) \( \in \) Wait | \(< B ; A \) CHs \( \in \) Ack\(_0\) | tick
\(< B ; A \) \( \in \) Wait | \(< B ; A \) CHs \( \in \) Contention\(_T\) | tick
\(< B ; A \) \( \in \) Contention\(_0\) | | tick
\(< A ; B \) \( \in \) Contention\(_T\) | | tick
\(< A ; B \) \( \in \) Contention\(_0\) | | tick

Table 1
Rules that can be applied in the system

Property 2: A node can only come into the contention phase a finite number of times.

Now we prove that in a fair system, and assuming that

\( \text{ROOT-CONT-FAST} \gg \max\{I,J\}(\text{timeLink}(I,J)) \)

\( \text{ROOT-CONT-SLOW} \gg \max\{I,J\}(\text{timeLink}(I,J)) \)

\( \text{ROOT-CONT-FAST} - \text{ROOT-CONT-SLOW} \gg \max\{I,J\}(\text{timeLink}(I,J)) \)

a node cannot be forever changing between the contention and waitParent phases by applying rules wait2 and contenSend; equivalently, the rewrite rule contenReceive will be applied.

We mean by fairness that all the rewrite rules that can be applied will be applied, and that the random number generator produces even and odd num-
bers and therefore the rootConDelay attribute of a node in the contention phase can be either ROOT-CONT-FAST or ROOT-CONT-SLOW. Equations 1, 2 and 3 express that both constants are much greater than the maximum link delay between the nodes, and that their difference is also much greater [9].

The configurations we can have when the contention takes places are the following ones:

1. Both nodes are in phase contention. Then,
   - If $R_A < R_B$, where $R_A$ is the rootConDelay constant selected by node A, $R_A$ occurs and, by means of the contenSend rule, A goes into the waitParent phase and a message from A to B be my parent with delay $T_1$ is sent. Then by assumption 3, $T_1$ occurs before $R_B$ and this message reaches node B when it is still in phase contention. Then node B will go into phase ack by means of the contenReceive rule. This situation corresponds to Figure 2.
   - If $R_B < R_A$, the situation is similar to the previous one, and node A will go into phase ack.
   - If $R_A = R_B$, then $R_A$ and $R_B$ occur simultaneously, and the system will apply the contenSend rule to both nodes before the time of the “be my parent” message of the first node that applies the contenSend rule has been consumed. This means that both nodes will go into the waitParent phase and the two messages from A to B be my parent with delay $T_1$ and from B to A be my parent with delay $T_2$ will be in the system. Now if $T_1 < T_2$ node A will go into the contention phase and we are in the initial situation again. If $T_2 < T_1$, node B goes into the contention phase, and the situation is symmetric to the initial one. See Figure 3.

In this case, we apply that we are in a fair system and the constants selected by A and B will be in some moment different and then we will not have this case forever.

2. Node A is in phase contention, node B is in phase waitParent, and there is a message from A to B be my parent with delay $T_1$ in the system. Then, by assumptions 1 and 2, $T_1$ occurs before $R_A$, and by means of the wait2 rule B goes into the contention phase, and we are in case 1.
3. Node A is in phase waitParent, node B is in phase contention, and there is a message from B to A be my parent with delay $T_2$ in the system. This situation is symmetric to case 2.

4. Both nodes are in the waitParent phase, and there are two messages from A to B be my parent with delay $T_1$ and from B to A be my parent with delay $T_2$ in the system. Then, by assumptions 1 and 2, both $T_1$ and $T_2$ occur before any of the $R_A$ and $R_B$ can take place. This means that both A and B go into the contention phase, and we are in the first case.

If a node goes out of the contention phase by means of the contenReceive rule it will not go back to the contention phase since it will be in the ack phase with no neighbors. Then, the only rules that can be applied to it are first ack, and then ackLeader.

**Property 3: Application of rules decreases $f(Configuration)$.**

Let $N$ be the total number of nodes in the network and $T$ the maximum delay of the timeLink table. We define:

$$
rec(I) = \begin{cases} 
5 \ast N \ast T \ast n & \text{if } < I ; \text{NEs} > \in Rec_n \\
0 & \text{otherwise}
\end{cases}
$$

$$
ack(I) = \begin{cases} 
4 \ast T \ast (n+1) & \text{if } < I ; \text{J CHs} > \in Ack_n \\
0 & \text{otherwise}
\end{cases}
$$

$$
wait(I) = \begin{cases} 
1 & \text{if } < I ; \text{J} \geq \text{Wait} \\
0 & \text{otherwise}
\end{cases}
$$

$$
\text{nm}(C) = \text{number of messages with time in configuration } C \\
\text{times}(C) = \text{sum of times in messages in configuration } C
$$

Consider the function

$$
f(C) = \left( \sum_{I \in \text{Node}} rec(I) + ack(I) + wait(I) \right) + \text{nm}(C) + \text{times}(C)
$$

We show in Table 2 the value of the function $f$ in a configuration and the value of the function after we have applied a rewrite rule in the system. All the values are relative, in the sense that they only represent the value of the substate that changes when the rewrite rule is applied. We observe that in all cases the value of the function decreases.

Rules contenReceive and contenSend are not represented in the table because they do not decrease the value of the function, but on the contrary, they increase it. This does not matter since we have proved above that these rules cannot be applied forever for a pair of nodes, and that if two nodes solve their contention they will not have another contention.

Since $f(C) \geq 0$ and it decreases when we apply the rewrite rules, then, although it can be increased by a finite quantity, we conclude that we cannot
Before the rule | Rule | After the rule
---|---|---
5\*N\*T\*n | rec | 5\*N\*T\*(n - 1) - 1
5\*N\*T | recN-1 | 4\*T\*(n + 1) \leq 4\*T\*N
5\*N\*T | recLeader | 4\*T\*(n + 1) - 1 < 4\*T\*N
4\*T\*(n + 1) | ack | \leq 4\*T\*n + T + 1
4\*T | ackLeader | 0
4\*T | ackParent | \leq 2 + T
1 | wait1 | 0
2 | wait2 | 0
f(C) | tick | f(C) - mte(C) \* nm(C)

Table 2
Values of function f

apply rewrite rules forever in the system.

### 6.2.4 Total correctness
Since we cannot apply rules forever in the system (Property 3), the set *Nodes* should become empty (Property 1), and if this set becomes empty there should be one and only one leader (Section 6.2.2).

### 7 Conclusion

We have shown how rewriting logic and Maude can be used to specify and analyze at different abstract levels a communication protocol such as the FireWire leader election protocol. We have also shown how the timing aspects of the protocol can be modeled in an easy and structured way in rewriting logic, by means of operations that define the effect of time elapse and rewrite rules that let time pass.

We have written and validated a third description of the protocol, where two new timing considerations are dealt with. The IEEE 1394 establishes that when the time limit `CONFIG_TIMEOUT` is reached before all but one of the “be my parent” requests have been made, this indicates that the network contains a cycle, therefore it is not possible to configure the network as a tree, and an error should be reported. On the other hand, setting a node’s `FORCE_ROOT` parameter is intended to alter the basic pattern of communication by delaying the transition from the first phase (receiving “be my parent” requests) to the acknowledgement phase; in this way there is a higher probability that the node receives requests on all its connections, thus ensuring that it becomes
the root of the tree. These timing considerations are handled by means of two new attributes which represent *alarms* that are decreased when time elapses.

We see this work as another contribution to the research area of specification and analysis of several kinds of communication protocols in Maude, as described in [6,7], as well as to the development of the formal methodology that we have summarized in the introduction. As far as we know, this paper describes the first examples where the strongest method of formal proof has been applied to a protocol in the context of Maude programs. In our opinion, it is necessary to have more examples in order to consolidate this methodology, and to develop tools that can help in the simulation and analysis of such examples.

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**References**


